



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 3202

SERIAL NUMBER 10/041,752	FILING DATE 01/07/2002 RULE	CLASS 705	GROUP ART UNIT 3624	ATTORNEY DOCKET NO. 4103/1
-----------------------------	---------------------------------------	--------------	------------------------	----------------------------------

APPLICANTS

Deborah A. Dixon, Milford, CT, Deceased;
 Delores A. Dixon, Milford, CT, Legal Representative;

*Special
Acc. Exams*

** CONTINUING DATA *****
 This appln claims benefit of 60/260,178 01/05/2001 *YES* *CR*

** FOREIGN APPLICATIONS ***** *NONE* *CR*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
 ** 02/06/2002

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CT	SHEETS DRAWING 9	TOTAL CLAIMS 34	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	Verified and Acknowledged	Examiner's Signature <i>CRK</i>	Initials	

ADDRESS
 29858
 BROWN, RAYSMAN, MILLSTEIN, FELDER & STEINER LLP
 900 THIRD AVENUE
 NEW YORK, NY
 10022

TITLE
 System and method for asset accumulation and risk management

FILING FEE RECEIVED 561	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue)
-----------------------------------	---	---